

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Minoru Toriumi

Title: COMPOSITION FOR ANTI-REFLECTIVE COATING
AND METHOD FOR MANUFACTURING
SEMICONDUCTOR DEVICE

Prior Appl. No.: 09/986,048

Prior Appl. Filing
Date: November 7, 2001

Examiner: H. Hu

Art Unit: 1713

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicant submits herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/986,161, filed November 7, 2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is explained in the parent application.

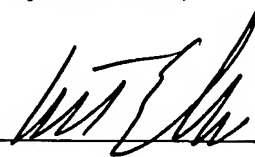
Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date October 2, 2003

By



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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: October 2, 2003 <i>(use as many sheets as necessary)</i>				C mplete if Known	
		Applicati n Number		Unassigned	
		Filing Date		October 2, 2003	
		First Named Invent r		Minoru TORIUMI	
		Group Art Unit		1713	
		Examiner Name		H. Hu	
		Attorney Docket Number		025311-0122	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,728,508		Takemura et al.	03/17/1998	
	A2	5,443,941		Bariya et al.	08/22/1995	
	A3	5,356,665		Seki et al.	10/18/1994	
	A4	4,910,122		Arnold et al.	03/20/1990	
	A5	6,325,752		Tomihashi et al.	12/2001	
	A6	6,309,789		Takano	10/2001	
	A7	5,510,406		Matsuo et al.	4/1996	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A8	EP	1 138 718	A1	TOMIHASHI et al.	10/04/2001		
	A9	JP	2001-19895		NAKAE et al.	01/23/2001		Abst.
	A10	JP	2000-26545		KIMURA et al.	01/25/2000		Abst.
	A11	JP	11-339556		KAWAGUCHI	12/10/1999		Abst.
	A12	EP	0 811 669	A1	MOHRI et al.	12/10/1997		
	A13	EP	0 583 918	A2	NEMOTO et al.	02/23/1994		
	A14	JP	5-255527		TOMOTA et al.	10/05/1993		Abst.
	A15	JP	60-203613		YAMAGUCHI	10/15/1993		Abst.
	A16	JP	10-319601		JSR Corp.	12/4/1998		Abst.
	A17	JP	2000-512402		Clariant International, Ltd.	9-19-2000		
	A18	WO	98/49603		Clariant International, Ltd.	11/5/1998		
	A19	JP	6-118656		Japan Synthetic Rubber Co. Ltd.	4/28/1994		Abst.
	A20	JP	2000-143937		JSR Corp.	5/26/2000		Abst.
	A21	JP	2000-221699		Toshiba Corp.	8/11/2000		Abst.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.